

WEIL, GOTSHAL & MANGES LLP
767 Fifth Avenue
New York, New York 10153
Telephone: (212) 310-8000
Facsimile: (212) 310-8007
Ralph I. Miller
Robert J. Lemons

Attorneys for Lehman Brothers Holdings Inc.
and Certain of Its Affiliates

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X	
	:
In re	:
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i>,	:
	:
Debtors.	:
	:
-----X	

Chapter 11 Case No.
08-13555 (SCC)
(Jointly Administered)

NOTICE OF JOINT DOCUMENTARY APPENDIX

Dated: March 11, 2014
New York, New York

/s/ Ralph I. Miller
Ralph I. Miller

WEIL, GOTSHAL & MANGES LLP
767 Fifth Avenue
New York, New York 10153
Telephone: (212) 310-8000
Facsimile: (212) 310-8007

Attorneys for Lehman Brothers Holdings Inc.
and Certain of Its Affiliates

LBHI'S EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	LBHI EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
2	LBHI0001	1/28/2014	Declaration Of Ralph I. Miller In Support Of Memorandum Of Law In Support Of Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibits A-F)		
3		10/2/2013	Exhibit A to Declaration Of Ralph I. Miller, Order Approving Stipulation of Facts Regarding RSUs and CSAs, Including the Tax and Accounting Treatment of RSUs and CSAs, dated October 2, 2013 (with attached Stipulation and exhibits), [ECF No. 40263]		
4		12/21/2011	Exhibit B to Declaration Of Ralph I. Miller, Hearing Transcript, <i>In re Lehman Bros. Holdings, Inc.</i> , No. 08-13555-jmp (Bankr. S.D.N.Y. Dec. 21, 2011), [ECF No. 23741]		
5			Exhibit C to Declaration Of Ralph I. Miller, Lehman Brothers 2005 Equity Award Program For Bonus-Eligible and Production-Based Employees	LEH-RSU 0022573	LEH-RSU 0022584
6		2/13/2006	Exhibit D to Declaration Of Ralph I. Miller, Excerpts from LBHI's 2005 Form 10-K for Fiscal Year ended November 30, 2005, dated February 13, 2006	LEH-RSU 0005994	LEH-RSU 0005994
7		11/8/2007	Exhibit E to Declaration Of Ralph I. Miller, Lehman Brothers Holdings Inc. Employee Incentive Plan as amended through November 8, 2007	LEH-RSU 0000254	LEH-RSU 0000262
8		3/29/2013	Exhibit F to Declaration Of Ralph I. Miller, Letter from Karen M. Simon Kreiger to Honorable James M. Peck, Robert J. Lemons, Esq., and Mark Bernstein, Esq., dated March 29, 2013 re: Participation in RSU Claims Discovery in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interest: Karen M. Simon Krieger – Claim Number: 18087 in the amount of \$164.319.52, sent in response to LBHI's Discovery Requests (without production enclosures)		
9	LBHI0002	3/4/2014	Supplemental Declaration Of Ralph I. Miller in Support Of LBHI's Opposition To Claimants' Opening Memoranda Regarding Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibits A-E)		
10		6/30/1995	Exhibit A to Supplemental Declaration Of Ralph I. Miller, Excerpts from Enron Corporation's 1995 Form S-8, dated June 30, 1995		
11			Exhibit B to Supplemental Declaration Of Ralph I. Miller, 2004 Stock Option Award Agreement Evidencing A Grant of Nonqualified Stock Option - Managing Directors	LEH-RSU 0002313	LEH-RSU 0002316
12			Exhibit C to Supplemental Declaration Of Ralph I. Miller, 2005 Stock Option Award Agreement Evidencing A Grant of Nonqualified Stock Option - Senior Vice-Presidents	LEH-RSU 0002403	LEH-RSU 0002406
13		1/5/2014	Exhibit D to Supplemental Declaration Of Ralph I. Miller, Motion for Leave to File A Response Out of Time with an attached Objection to Disallowing and Expunging Claim Number 1773500 filed by Thomas C. McBarron in <i>In re Enron Corp., et al.</i> , No. 0116034 (AJG) (Bankr. S.D.N.Y. Jan. 5, 2004), [ECF Doc 15647]		
14		8/21/2007	Exhibit E to Supplemental Declaration Of Ralph I. Miller, Summons and Verified Complaint for Breach of Contract and Other Claims Against Defendants in <i>Berkowitz v. Club Ventures Investments LLC, et al.</i> , No. 07-602824 (N.Y.S. Aug. 21, 2007), [NYSCEF DOC. NO. 2]		
15	LBHI0003	3/4/2014	Declaration of John Tuosto in Support Of LBHI's Opposition To Claimants' Opening Memoranda Regarding Debtors' Seventy-Third, One Hundred Eighteenth, One Hundred Thirtieth, One Hundred Thirty-First, One Hundred Thirty-Third, One Hundred Thirty-Fourth, One Hundred Thirty-Fifth, One Hundred Seventy-Sixth And Two Hundred Seventh Omnibus Objections To Claims (To Reclassify Proofs Of Claim As Equity Interests) (Incl. Exhibit A)		
16			Exhibit A to Declaration Of John Tuosto, Spreadsheet Identifying Cash Paid to Certain Former NB Employees		

CLAIMANTS' EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	CL EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
2	CL0001	10/2/2013	Order Approving Stipulation of Facts Regarding RSUs and CSAs, Including the Tax and Accounting Treatment of RSUs and CSAs, dated October 2, 2013 (with attached Stipulation and exhibits), [ECF No. 40263]		
3		6/3/1999	Exhibit 1 to Stipulation, Employment contract with Managing Director Claimant with identifying information redacted	LS CLMT-RSU 000005	LS CLMT-RSU 000006
4		5/4/2007	Exhibit 2 to Stipulation, Employment contract with Claimant with identifying information redacted	S&S CLMT-RSU 000296	S&S CLMT-RSU 000297
5			Exhibit 3 to Stipulation, Lehman Brothers 2003 Equity Award Program for Senior Vice President	LEH-RSU 0015888	LEH-RSU 0015902
6			Exhibit 4 to Stipulation, Lehman Brothers 2003 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0015051	LEH-RSU 0015054
7			Exhibit 5 to Stipulation, Lehman Brothers 2004 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units (Investment Representatives)	LEH-RSU 0014329	LEH-RSU 0014332
8			Exhibit 6 to Stipulation, 2005 Managing Director Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000108	LEH-RSU 0000111
9			Exhibit 7 to Stipulation, 2006 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0017757	LEH-RSU 0017761
10			Exhibit 8 to Stipulation, 2007 Equity Award Program - Agreement Evidencing A Grant Of Restricted Stock Units	LEH-RSU 0000278	LEH-RSU 0000282
11			Exhibit 9 to Stipulation, 2003 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0007228	LEH-RSU 0007231
12			Exhibit 10 to Stipulation, 2004 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0001054	LEH-RSU 0001057
13			Exhibit 11 to Stipulation, 2005 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0001082	LEH-RSU 0001085
14			Exhibit 12 to Stipulation, 2006 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0019153	LEH-RSU 0019158
15			Exhibit 13 to Stipulation, 2007 Equity Award Program - Contingent Stock Award Letter	LEH-RSU 0000263	LEH-RSU 0000268
16			Exhibit 14 to Stipulation, 2008 Equity Award Program - Questions and Answers for Bonus-Eligible Employees and Production-Based Employees	LEH-RSU 0000927	LEH-RSU 0000942
17			Exhibit 15 to Stipulation, 2008 Equity Award Program - Questions and Answers for Bonus-Eligible and Production-Based Employees	LEH-RSU 0000911	LEH-RSU 0000926
18			Exhibit 16 to Stipulation, Lehman Brothers 2005 Total Compensation Statement, with identifying information redacted	S&S CLMT-RSU 000124	S&S CLMT-RSU 000124
19			Exhibit 17 to Stipulation, Lehman Brothers 2006 Total Compensation Statement (with identifying information redacted) used for overseas employees	S&S CLMT-RSU 000046	S&S CLMT-RSU 000046
20			Exhibit 18 to Stipulation, FY 2008 compensation statement with identifying information redacted		
21			Exhibit 19 to Stipulation, FY 2008 compensation statement with identifying information redacted		
22		4/14/2004	Exhibit 20 to Stipulation, Email from Mark Gross to James Emmert with subject "FW: Based on your most excellent feedback, Ian has made some changes to the document" and attaching a presentation entitled "Stock Awards Overview 0413a.ppt"	LEH-RSU 0014995	LEH-RSU 0014995
23			Exhibit 21 to Stipulation, Page 6 of the presentation "Stock Awards Overview 0413a.ppt" attached to Mark Gross' email, Exhibit 20 to Stipulation	LEH-RSU 0015001	LEH-RSU 0015001
24	CL0002		Guide to Working at Lehman Brothers	LEH-RSU 0000292	LEH-RSU 0000330
25	CL0003	10/3/2006	LBHI Minutes of the Compensation and Benefits Committee October 3, 2006, redacted in part	LEH-RSU 0000588	LEH-RSU 0000609
26	CL0004	11/14/2006	LBHI Minutes of the Compensation and Benefits Committee November 14, 2006, redacted in part	LEH-RSU 0000714	LEH-RSU 0000735
27	CL0005	7/1/2008	2008 Equity Award Program -- Questions and Answers for Bonus-Eligible and Production-Based Employees	LEH-RSU 0000911	LEH-RSU 0000926
28	CL0006	9/1/2004	Max J. Schwarz, <i>A Primer on Stock-Based Compensation and Selected Recent Developments, contained in Hot Issues in Executive Compensation 2004</i> , PLI Tax Law & Estate Planning Course Handbook Series, 642 PLI/Tax 57		

CLAIMANTS' EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	CL EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
29	CL0007		J. Slain & H. Kripke, <i>The Interface between Securities Regulation and Bankruptcy - Allocating the Risk of Illegal Securities Issuance between Security holders and the Issuer's Creditors</i>		
30	CL0008		C. Edgar Adkins, Jr. & Jeffrey A. Martin, <i>Restricted Stock: The Tax Impact on Employers and Employees</i> , 107 J. Tax. 224 (2007)		
31	CL0009		Weil, Gotshal & Manges LLP, Bankruptcy Blog, <i>Tightening the Golden Handcuffs: Forfeiture Provisions in Stock; Voluntary Plans</i> , April 5, 2004, New York Law Journal		
32	CL0010	12/2/2008	Wall Street Journal article, "The Nine Lives of Neuberger Berman Management"		
33	CL0011	1/27/2014	Declaration of Judith Ann Kenney (Incl. Exhibit A)		
34		6/27/2001	Exhibit A to J. Kenney Declaration, Kenney Employment Agreement with Neuberger Berman		
35	CL0012	12/21/2011	Hearing Transcript, <i>In re Lehman Brothers Holdings, Inc.</i> , No. 08-13555-jmp, [12/27/2011] [ECF. No. 23741]		
36	CL0013	5/31/2012	Hearing Transcript, <i>In re Lehman Brothers Holdings, Inc.</i> , No. 08-13555-jmp, [6/14/2012] [ECF. No. 28434]		
37	CL0014	1/27/2014	Declaration of Henry Ramallo (Incl. Exhibit A)		
38		8/19/2003	Exhibit A to Ramallo Declaration, Form of Neuberger Berman, Inc. Retention Bonus Pool Award Agreement (Ramallo)		
39	CL0015	1/27/2014	Declaration of Stephanie Stiefel (Incl. Exhibit A-B)		
40		8/2/1999	Exhibit A to Stiefel Declaration, Neuberger Berman Inc. Stockholders Agreement	NB 00077	NB 00101
41		10/31/2003	Exhibit B to Stiefel Declaration, Amended and Restated Stockholders Agreement	LEH-RSU-CL 0000697	LEH-RSU-CL 0000719
42	CL0016	2/27/2014	Affidavit of Madelyn Antoncic, Ph.D.		
43	CL0017	3/4/2014	Declaration of Riccardo Banchetti		
44	CL0018	2/28/2014	Declaration of Michele Bareggi		
45	CL0019	3/5/2014	Declaration of Paola Biraschi		
46	CL0020	3/4/2014	Declaration of Claimant Donald J. Boughrum In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
47	CL0021	3/4/2014	Affidavit of Timothy A. Burke		
48	CL0022	3/3/2014	Declaration of Claimant Virgilio Casuple In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity (Incl. Exhibits A-C)		
49			Exhibit A to Casuple Declaration, Employment contract with Casuple		
50			Exhibit B to Casuple Declaration, Proof of Claim For for Claim 34326		
51			Exhibit C to Casuple Declaration, Lehman Brothers Executive Compensation Summary for Casuple	LEH-RSU-CL 0000014	
52	CL0023	3/4/2014	Declaration of Claimant Darian J. Cohen In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Or Subordinate Compensation Claims As Equity (Incl. Exhibits A-B)		
53			Exhibit A to Cohen Declaration, Proof of Claim Form for Claim 16153		
54			Exhibit B to Cohen Declaration, Epiq System Claims Search Results		
55	CL0024	3/4/2014	Declaration of Claimant Joseph G. D'Amadeo In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
56	CL0025	3/4/2014	Affidavit of Nachiketa Das		
57	CL0026	3/3/2014	Declaration of Claimant Nestor De Jesus In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
58			Exhibit 1 to Declaration of Claimant Nestor De Jesus, Proof of Claim Form		
59	CL0027	3/3/2014	Declaration of Philippe Dufoirier		

CLAIMANTS' EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	CL EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
60	CL0028	3/4/2014	Declaration of Claimant Steven Engel In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
61	CL0029	3/3/2014	Declaration of Claimant Michael Gran In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
62	CL0030	3/4/2014	Affidavit of Peter Hornick		
63	CL0031	3/4/2014	Declaration of Claimant Julian Iragorri In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
64	CL0032	3/4/2014	Declaration of Claimant Lars P. Jacobson in Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Or Subordinate Compensation Claims As Equity (Incl. Exhibits A-B)		
65			Exhibit A to Declaration of Claimant Lars P. Jacobson, Proof of Claim Form		
66			Exhibit B to Declaration of Claimant Lars P. Jacobson, Epiq System Claims Search Results		
67	CL0033	3/3/2014	Declaration of Claimant Harriet Chan King In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
68	CL0034	3/4/2014	Declaration of Claimant Karen M. Simon Krieger In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
69			Exhibit 1 to Declaration of Claimant Karen M. Simon Krieger, Proof of Claim Form for Claim 9876		
70	CL0035	3/4/2014	Declaration of Claimant Mary E. Langevin in Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Or Subordinate Compensation Claims As Equity (Incl. Exhibits A-B)		
71			Exhibit A to Declaration of Claimant Mary E. Langevin, Employment contract with Mary E. Langevin		
72			Exhibit B to Declaration of Claimant Mary E. Langevin, Proof of Claim Form for Claim 24675		
73	CL0036	3/1/2014	Declaration of Claimant Nicole Lawrence In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
74	CL0037	3/3/2014	Affidavit of Michael Lawsky		
75	CL0038	3/4/2014	Declaration of Claimant Michael K. McCully In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
76			Exhibit 1 to Declaration of Claimant Michael K. McCully, Proof of Claim Form for Claim 32794		
77	CL0039	3/3/2014	Declaration of Claimant Brian Monahan In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
78	CL0040	3/1/2014	Declaration of Claimant H. Morgan Lawrence In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
79	CL0041	3/4/2014	Declaration of Richard Noble		

CLAIMANTS' EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	CL EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
80	CL0042	3/4/2014	Declaration of Claimant Helmut Olivier In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
81			Exhibit 1 to Declaration of Claimant Helmut Olivier, Proof of Claim Form for Claim 14855		
82	CL0043	3/3/2014	Declaration of Anke Parr		
83	CL0044	3/4/2014	Declaration of Claimant Michael J. Petrucelli In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
84			Exhibit 1 to Declaration of Claimant Michael J. Petrucelli, Proof of Claim Form for Claim 5770		
85	CL0045	3/4/2014	Declaration of Claimant Barry Porter In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
86	CL0046	3/4/2014	Affidavit of Vincent Primiano		
87	CL0047	3/4/2014	Declaration of Claimant Sandy Fleischman Richman In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
88			Exhibit 1 to Declaration of Claimant Sandy Fleischman Richman, Proof of Claim Form for Claim 8530		
89	CL0048	3/4/2014	Declaration of Claimant Roger Saks In Opposition To Debtors' 176th Omnibus Objection To Reclassify Proofs Of Claim As Equity Interests, Or Alternatively, To Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		
90	CL0049	3/3/2014	Declaration of Claimant Amit Kumar Sarkar In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity (Incl. Exhibit A)		
91			Exhibit A to Declaration of Claimant Amit Kumar Sarkar, Proof of Claim Form for Claim 34872		
92	CL0050	3/3/2014	Declaration of Giancarlo Saronne		
93	CL0051	3/3/2014	Affidavit of Jonathan Sebiri		
94	CL0052	3/2/2014	Declaration of Claimant Ross B. Shapiro In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
95			Exhibit 1 to Declaration of Claimant Ross B. Shapiro Richman, Proof of Claim Form for Claim 31374		
96	CL0053	3/4/2014	Declaration of Claimant Gregg Somma In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
97			Exhibit 1 to Declaration of Claimant Gregg Somma, Proof of Claim Form for Claim 24373		
98	CL0054	3/4/2014	Affidavit of Charles Spero		
99	CL0055	3/1/2014	Declaration of Claimant Christian Stevens In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Or Subordinate Compensation Claims As Equity (Incl. Exhibits A-C)		
100		7/1/2004	Exhibit A to Stevens Declaration, Lehman Brothers Equity Award Program Questions And Answers For Aurora Sales Managers, July 2004		
101		1/16/2008	Exhibit B to Stevens Declaration, E-mail from Compensation to Stevens re: RSU's		
102			Exhibit C to Stevens Declaration, Proof of Claim Form for Claim 25431		
103	CL0056	3/4/2014	Affidavit Of Gordon Sweely		
104	CL0057	3/4/2014	Declaration of Claimant Wendy M. Uvino In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code		

CLAIMANTS' EXHIBIT LIST

(3/11/2014)

	A	B	C	D	E
1	CL EX NO	DOC DATE	DESCRIPTION	BEG BATES	END BATES
105	CL0058	3/3/2014	Declaration of Claimant Andrew Wideman In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity (Incl. Exhibits A-B)		
106		7/1/2004	Exhibit A to Wideman Declaration , Lehman Brothers Equity Award Program Questions And Answers For Aurora Sales Managers, July 2004		
107			Exhibit B to Wideman Declaration, Proof of Claim Form for Claim 22286		
108	CL0059		LBHI's 30(b)(6) Deposition dated October 24, 2013 (Incl. Exhibits A, T, U, V)		
109			Exhibit A to LBHI's 30(b)(6) Deposition dated October 24, 2013		
110			Exhibit T to LBHI's 30(b)(6) Deposition dated October 24, 2013		
111			Exhibit U to LBHI's 30(b)(6) Deposition dated October 24, 2013		
112			Exhibit V to LBHI's 30(b)(6) Deposition dated October 24, 2013		
113	CL0060		2007 Equity Award Program For Bonus-Eligible Employees and Production-Based Employees	LEH-RSU 0000269	LEH-RSU 0000277
114	CL0061	2/13/2007	Email from R. Polisi to C. Tuininga with copy to T. Binkley, E. Arreglado, K. Macleod and J. Gault re: "Shareholder Q&A Slides – for updating" and attaching powerpoint slides entitled "Compensation: Info from: HR / Chris Tuininga"	LEH-RSU 0007514	LEH-RSU 0007562
115	CL0062	9/7/1997	1997 Trust Under Lehman Brothers Holdings Inc. Incentive Plans	LEH-RSU 0002279	LEH-RSU 0002296
116	CL0063	8/2/1999	Neuberger Berman Inc. Stockholders Agreements	NB 0001	NB 0101
117	CL0064		Neuberger Berman Inc. Retention Bonus Pool Award Agreements	NB 0102	NB 0146
118	CL0065	11/17/2008	Neuberger Berman Holding LLC Memo from George Walker and Heather Zuckerman to U.S. Employees of Neuberger Berman Holdings and certain of its affiliates	NB 0147	NB 0155
119	CL0066		Neuberger Berman, Inc. Employment Agreements	LEH-RSU-CL 0000670	LEH-RSU-CL 0000696
120	CL0067	10/31/2003	Amended and Restated Stockholders Agreement	LEH-RSU-CL 0000697	LEH-RSU-CL 0000719
121	CL0068	3/4/2014	Declaration of Claimant Rocco F. Andriola In Opposition To Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity, Or Alternatively to Subordinate Claims Pursuant To § 510(b) Of The Bankruptcy Code (Incl. Exhibit 1)		
122			Exhibit 1 to Andriola Declaration, Proof of Claim Form		
123	CL0069	3/4/2014	Declaration of Claimant Paul Shotton In Opposition to Debtors' Fourteen Omnibus Objections Seeking To Reclassify Compensation Claims As Equity (Incl. Exhibits 1-2)		
124			Exhibit 1 to Shotton Declaration, Proof of Claim Form		
125			Exhibit 2 to Shotton Declaration, Employment contract with Claimant Paul Shotton		
126	CL0070	2/19/2003	Lehman Brothers Holdings Inc. Employee Incentive Plan, as amended through Feb. 19, 2003	LEH-RSU 0000001	LEH-RSU 0000006
127	CL0071	3/26/1997	RSU Trust Authorization	LEH-RSU 0002472	LEH-RSU 0002476
128	CL0072	1/30/2014	Arthur J. Kenney's Memorandum In Opposition To Debtors' One Hundred Thirty-First Omnibus Objection Seeking To Reclassify Comensation Claims As Equity, Or Alternatively, To Subordinate Claims Pursuant To Section 510(b) Of The Bankruptcy Code (Incl. Exhibits A-B)		
129		1/28/2014	Exhibit A to Arthur J. Kenney's Memorandum In Opposition To Debtors' One Hundred Thirty-First Omnibus Objection Seeking To Reclassify Comensation Claims As Equity, Or Alternatively, To Subordinate Claims Pursuant To Section 510(b) Of The Bankruptcy Code, Claim No. 14067 Commission Print-Out		
130		10/4/2011	Exhibit B to Arthur J. Kenney's Memorandum In Opposition To Debtors' One Hundred Thirty-First Omnibus Objection Seeking To Reclassify Comensation Claims As Equity, Or Alternatively, To Subordinate Claims Pursuant To Section 510(b) Of The Bankruptcy Code, Email from Rebecca McAdams of Barclays Capital to A. Kenney with subject: "Per our conversations"		
131	CL0073	2/4/2014	Andrea T. Jao's Memorandum In Opposition To Debtors' Omnibus Objections Seeking To Reclassify Compensation Claims As Equity		